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Johnie Crosby
(Name of person mailing)

Johnie Crosby
(Signature of person mailing)

ATTORNEY FILE NO. 8406/4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF)
)
HOWARD B. GARBER)
)
SERIAL NO. 08/868,200)
)
FILED: June 3, 1997)
)
FOR: SYSTEM AND METHOD FOR)
TRADING HAVING A)
PRINCIPAL MARKET MAKER)

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) Preliminary Amendment
- (X) Information Disclosure Statement
- (X) PTO Form 1449 with fourteen cited references; and
- (X) A return prepaid postcard.

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(X) The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Account No. 23-1925. A duplicate copy of this letter is enclosed.

Respectfully submitted,

Date: 9.3.97

K. Shannon
Karen L. Shannon, Ph.D.
Registration No. 36,675

Jonathan E. Retsky
Registration No. 34,415
Attorneys for Applicant

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200